

Terms and conditions

1. This promotion is valid from 1 January 2021 until 30 June 2021, both dates inclusive ("promotion period").
2. Reward 1 is only applicable to the direct clients of Chief Securities Ltd. and Chief Commodities Ltd. ("CHIEF") who do not have any types of funds subscription with the Chief Securities Ltd. before the promotion period; or direct new clients of CHIEF (as defined in Clause 3 below) ("Eligible clients"). And Reward 3 is only applicable to the direct new clients of CHIEF and the successful opening of E-Accounts and deposited HK\$10,000 or above during the promotion period. All clients affiliated with Account Executive (AE) are not included in the promotion. The promotion is only applicable to individual accounts. Joint accounts, all corporate and institutional investors are not included in this promotion.
3. "New client" means the account holder of a new account. New client does not have any types of account with CHIEF in past 6 months before opening the account.
4. Clients can enjoy various promotional offers of this promotion at the same time, but not in conjunction with other promotional offers not listed in this promotion.
5. Reward 1- \$0 Subscription Fee
 - 5.1 Eligible clients who have successfully perform the fund subscription via "Chief Trader" Mobile APP with their account during the promotion period can enjoy \$0 subscription fee.
 - 5.2 Eligible clients must pay subscription fees upfront, and the subscription fee rebate will be reimbursed to the eligible client's securities account within 14 working days after the end of promotion ("Subscription Fee Reimbursement Day").
 - 5.3 If eligible customers transfer the funds from the above subscription fee rebate out of the CHIEF during the promotion period, this offer will be cancelled.
 - 5.4 Each eligible client can enjoy up to HK\$5,000 total Subscription Fee Reimbursement during the promotion period.

If transaction is made in foreign currency, Subscription Fee Reimbursement will be converted into Hong Kong dollars (using the exchange rate solely determined by CHIEF) and paid in Hong Kong dollars.
6. Reward 2 - \$0 Commission Fee for Monthly HK Stocks/ US Stocks/Funds Investment Plan :
 - 6.1 New clients (as defined in Clause 3 above) who have successfully applied for the monthly investment plan via "Chief Trader" Mobile APP with their account during the promotion period can enjoy \$0 commission or \$0 subscription fee for the monthly contribution for 24 consecutive months from the successful application date. The offer is subject to the relevant terms and conditions, please refer to <https://www.chiefgroup.com.hk/Content/pdf/en/mip-promo.pdf>

7. Reward 3 - New Client Reward :

7.1 The offer is only applicable to new clients (as defined in Clause 3 above) and will be entitled to a HK\$50 supermarket coupon upon successful opening of the E-Account and deposited HK\$10,000 or above during the promotion period.

8. The minimum subscription amount as low as HK \$ 5,000 or USD \$700 is only applicable for designated selected funds. For details, please refer to the below list*.
9. Employees of CHIEF and their immediate family members could not participate in this promotion.
10. The supermarket coupon will be sent out by post within 4-6 weeks after the promotion period (applicable to Hong Kong address only). Outbound clients will be contacted individually for separate arrangements.
11. This offer is only valid while stocks last.
12. For the terms and conditions of supermarket coupons, please refer to the relevant supermarket coupons or contact the relevant company directly.
13. All supermarket coupons are non-transferable and cannot be exchanged for cash or any discount. CHIEF reserves the right to substitute with other supermarket coupons of equal value without prior notice. CHIEF is not a supplier of supermarket coupons and do not have the liability of the supermarket coupons. If you have any enquiries, comments or complaints about the supermarket coupons, please contact the relevant supplier directly.
14. To enjoy the above offers, clients must still hold the same and valid securities account with CHIEF when collecting supermarket coupons or on Subscription Fee Reimbursement Day.
15. CHIEF reserves the right to cancel or delete, replace, supplement or amend any of the terms and conditions of this promotion without prior notice. CHIEF also disclaims any liability arising from any variation or termination of the offer or terms.
16. CHIEF reserves the right to make the final decision in case of disputes.
17. Should there be any discrepancy between the English and Chinese versions of this promotion material, the Chinese version shall prevail.

| * Designated selected funds | Currency | Minimum fund subscription amount |
|--|----------|----------------------------------|
| China Equities Fund | | |
| Allianz China A-Shares - Class AT (HKD) Acc. | HKD | 5,000 |
| Allianz China A-Shares - Class AT (USD) Acc. | USD | 700 |
| BEA Union Investment China A-share Equity Fund - Class A USD | USD | 700 |
| BOCHK All Weather CNY Equity Fund - A3 - HKD | HKD | 5,000 |
| BOCHK All Weather CNY Equity Fund - A2 - USD | USD | 700 |
| JPMorgan China Pioneer A-Share Fund - (acc) - USD | USD | 700 |
| Asian High Yield Bond Fund | | |
| BEA Union Asian Bond and Currency - H HKD (Dis) | HKD | 5,000 |
| BEA Union Asian Bond and Currency - A USD (Dis) | USD | 700 |
| Income Partners Managed Volatility High Yield Bond Fund - 2D Dis (HKD) | HKD | 5,000 |
| Income Partners Managed Volatility High Yield Bond Fund - 2A Dis (USD) | USD | 700 |
| Fidelity Asian High Yield Fund - A - MDIST - HKD | HKD | 5,000 |
| Fidelity Asian High Yield Fund - A - MINCOME - USD | USD | 700 |
| Fidelity China High Yield Fund - A - MINCOME(G)-HKD (H) | HKD | 5,000 |
| BOCHK All Weather Asia High Yield Bond Fund - A2 HKD | HKD | 5,000 |
| BOCHK All Weather Asia High Yield Bond Fund - A1 USD | USD | 700 |
| Global High Yield Bond Fund | | |
| Alliance Bernstein FCP I-Global High Yield Portfolio - AT HKD Mdis | HKD | 5,000 |
| Alliance Bernstein FCP I-Global High Yield Portfolio - AT USD Mdis | USD | 700 |
| BlackRock Global High Yield Bond Fund - A6 HKD Hedged | HKD | 5,000 |
| BlackRock Global High Yield Bond Fund - A2 USD | USD | 700 |
| Bond Fund | | |
| Alliance Bernstein FCP I- American Income Portfolio - AT HKD Mdis | HKD | 5,000 |
| Alliance Bernstein FCP I- American Income Portfolio - AT USD Mdis | USD | 700 |
| BOCHK All Weather Asian Bond Fund - A2 - HKD | HKD | 5,000 |
| BOCHK All Weather Asian Bond Fund - A1 - USD | USD | 700 |
| BlackRock Asian Tiger Bond Fund - A6 HKD Hedged | HKD | 5,000 |
| Technology Fund | | |
| AB SICAV I - International Technology Portfolio - A USD | USD | 700 |
| Franklin Technology Fund - A (acc) HKD | HKD | 5,000 |
| Franklin Technology Fund - A (acc) USD | USD | 700 |
| Allianz Global Artificial Intelligence - Class AT Acc (HKD) | HKD | 5,000 |
| Allianz Global Artificial Intelligence - Class AT Acc (USD) | USD | 700 |
| JPMorgan Pacific Technology - (acc) - USD | USD | 700 |

| Health Science Fund | | | |
|--|-----|-------|--|
| AB SICAV I - International Health Care Portfolio - A USD | USD | 700 | |
| BlackRock World Healthscience Fund - A2 USD | USD | 700 | |
| Gold Fund | | | |
| BlackRock World Gold Fund - A2 USD | USD | 700 | |
| BlackRock World Gold Fund - A2 HKD Hedged | HKD | 5,000 | |
| Schroder ISF-Global Gold - (USD) A Acc | USD | 700 | |

The “Selected Funds” list is for informational purposes only. The selected funds in the list are based on the Company's views with the generic and factual factors of the respective market condition and/or sector and

have not taken into account of any personal circumstances of any specific person. The list should not be construed as any recommendation or solicitation to invest in any particular funds. You should not base on this list alone to make investment decisions and you are strongly advised to make your own assessment before investing into the relevant funds and ensure they are suitable for you and match with your personal circumstances. Your use and reliance upon such content are at your own risk. The Company shall not be responsible for any damages or losses arising from your use or reliance on the information contained in this list. The “Selected Funds” are not meant to be specific personal recommendations for investors to buy into. You are strongly advised to make your own assessment before investing in the relevant funds and ensure they are suitable for you and match with your personal circumstances. CHIEF reserves the right to amend, suspend or terminate the above products and service and to amend the relevant terms at any time at its sole discretion without prior notice.

Disclaimer

The above information is for reference only. It does not constitute any offer, solicitation, recommendation, comment or any guarantee to the purchase or sale of any investment products or services. The following risk disclosure statements may not disclose all the risks involved. You should undertake your own research and study before you trade or invest. You should carefully consider whether trading or investment is suitable in light of your own financial position and investment objectives. The risk of loss in trading in financial products can be significant, you must carefully consider and assess the risks involved in the product or consult a professional advisor to ensure that your investment decisions are appropriate to your financial situation and risk tolerance level, taking into account your financial circumstances and investment objectives. The Company is not legally responsible for any loss or damage suffered by any person arising from the use of this material.