

「股票期權長倉HK\$5佣金」收費計劃

'HK\$5 Long Stock Options Commission' Plan

條款及細則

Terms and Conditions:

1. 選用「股票期權長倉 HK\$5 佣金」收費計劃之客戶須同時申請收取電郵結單 (包括日、月結單)。

Clients who select the 'HK\$5 Long Stock Options Commission' Plan shall opt to receive email statements (including daily and monthly statements).

2. 「股票期權長倉 HK\$5 佣金」收費計劃只限於香港股票期權網上交易的長倉之開倉(即買入認購期權(Long Call)或買入認沽期權(Long Put))及隨後之相關平倉(即相關的沽出認購期權(Short Call)或沽出認沽期權(Short Put)) (如適用)。佣金收費按合約張數計算,為每張 HK\$5。

「股票期權長倉 HK\$5 佣金」收費計劃內的其他操作,收費和普通收費相同,詳情請參閱收費一覽表。當中包括但不限於:

- a. 電話及網上交易的短倉之開倉及隨後之相關平倉;
- b. 電話交易的長倉之開倉及隨後之相關平倉;及
- c. 行使及被行使的股票交收的收費。

客戶可因應投資策略,自行考量參與計劃與否。

The 'HK\$5 Long Stock Options Commission' Plan is only applicable to online trading of stock options traded on the SEHK to opening a long position(s) (i.e., relevant Long Call or Long Put instructions) and subsequent related closing position(s) (i.e., relevant Short Call or Short Put instructions) (if applicable). Commission fees will be calculated on the basis of the number of contracts, each contract costing HK\$5.

Other operations under this plan will be the same as normal charges, please refer to the charge table for details, including but not limited to:

- a. phone and online trading relating to the opening of a Short Position and subsequent related closing position;
- b. phone trading relating to opening of a Long Position and subsequent related closing position; and
- c. fees generated due to securities settlement exercised or being exercised.

Clients can choose to participate in the plan at their own discretion which best suited their investment strategy.

3. 「股票期權長倉 HK\$5 佣金」收費計劃只適用於致富證券有限公司 (「本公司」)的直屬客戶· 隸屬經紀之客戶恕未包括在計劃內。

This plan is only applicable to direct clients of Chief Securities Ltd ("CHIEF"), clients of Account Executives are not included.

4. 除公司通知客戶更改其收費模式,或客戶要求更改外,此收費模式將不設期限,惟本公司有權提供一個月通知後取消此收費模式。

There will be no time limit to this plan unless CHIEF informs the client of changes to the plan or the client requests a change, however CHIEF reserves the right to cancel this plan upon one month's notice.

@致富CHIEF

- 5. 如客戶需要取消「股票期權長倉 HK\$5 佣金」收費計劃,即代表轉用普通收費。客戶須填寫 取消表格並收到本公司通知方可有效取消,一般需時 2 - 5 工作天,期間之交易仍會沿用此 收費計劃。
 - If the client needs to cancel the 'HK\$5 Long Stock Options Commission' Plan, this will mean reverting to the normal charging scheme. Client must fill a cancellation form and wait for CHIEF's notice for effective cancellation, which will usually take 2-5 working days. Any transactions made during the period will continue to be charged according to this plan.
- 6. 本公司保留隨時終止「股票期權長倉 HK\$5 佣金」收費模式或更改「股票期權長倉 HK\$5 佣金」收費模式及條款之權利,而毋須另行通知。如有任何爭議本公司保留最終決定權。 CHIEF reserves the right to terminate or amend any terms and conditions of the 'HK\$5 Long Stock Options Commission' Plan without prior notice. CHIEF reserves the right to make the final decision in case of disputes.
- 7. 如本宣傳品中、英文版本有歧異,概以中文版本為準。
 Should there be any discrepancy between the English and Chinese versions of this promotion material, the Chinese version shall prevail.

重要注意事項

以上提供之資料及內容僅供參考,並不構成任何交易、招攬、邀請或要約。投資附帶風險,投資者需注意投資項目之價值可升亦可跌,而過往之表現亦不一定反映未來之表現。金融產品買賣的虧損風險可以十分重大;因此,閣下必須仔細考慮並評估產品涉及之風險,或諮詢專業顧問,鑑於自己的財務狀況及投資目標,以確保投資決定適合個人財務狀況及風險承受水平。本公司對任何人因使用本文資料而蒙受的任何直接或間接損失在法律上均不負責。

Important Notes

The above information is for reference only. It does not constitute any offer, solicitation, recommendation, comment or any guarantee to the purchase or sale of any investment products or services. The following risk disclosure statements may not disclose all the risks involved. You should undertake your own research and study before you trade or invest. You should carefully consider whether trading or investment is suitable in light of your own financial position and investment objectives. The risk of loss in trading in financial products can be significant, you must carefully consider and assess the risks involved in the product or consult a professional advisor to ensure that your investment decisions are appropriate to your financial situation and risk tolerance level, taking into account your financial circumstances and investment objectives. The Company is not legally responsible for any loss or damage suffered by any person arising from the use of this material.



「股票期權長倉\$5佣金」收費計劃登記表格

本人/吾等確定作出以下申請: 「股票期權長倉\$5 佣金」收費計劃電郵結單服務:	_
賬戶日結單及月結單送遞至: ☑ 電郵地址 電郵地址: *如填寫電郵地址與現有賬戶不同,本公司將會更新客戶所有戶口。	
「股票期權長 倉 \$5 佣金」收費計劃條款及細則	
1. 選用「股票期權長倉\$5 佣金」收費計劃之客戶須同時申請收取電郵結單 (包括日月結單)。	
2. 「股票期權長倉\$5 佣金」收費計劃只限於香港股票期權網上交易的長倉之開倉(即買入認購期權(Long Call)或買入 沽期權(Long Put)及隨後之相關平倉(即相關的沽出認購期權(Short Call)或沽出認沽期權(Short Put)(如適用)。佣金收 按合約張數計算,為每張 HK\$5。	
「股票期權長倉\$5 佣金」收費計劃內的其他操作,收費和普通收費相同,詳情請參閱收費一覽表。當中包括但不限於 a. 電話及網上交易的短倉之開倉及隨後之相關平倉;	:
b. 電話交易 的長倉之開倉及隨後之相關平倉;及	
c. 行使及被行使的股票交收的收費。	
客戶可因應投資策略,自行考量參與計劃與否。	
3. 「股票期權長倉\$5佣金」收費計劃只適用於致富證券有限公司(「本公司」)的直屬客戶,隸屬經紀之客戶恕未包括在記劃內。	+
4. 除公司通知客戶更改其收費模式,或客戶要求更改外,此收費模式將不設期限,惟本公司有權提供一個月通知後消此收費模式。	取
5. 如客戶需要取消「股票期權長倉 \$5佣金」收費計劃,即代表轉用普通收費。客戶須填寫取消表格並收到本公司通知可有效取消,一般需時2-5工作天,期間之交易仍會沿用此收費計劃。	方
6. 本公司保留隨時終止「股票期權長倉\$5 佣金」收費模式或更改「股票期權長倉\$5 佣金」收費模式及條款之權利, 而毋須另行通知。如有任何爭議本公司保留最終決定權。	
以上提供之資料及內容僅供參考,並不構成任何交易、招攬、邀請或要約。投資附帶風險,投資者需注意投資項目之價值可升亦可跌,而過往之表亦不一定反映未來之表現。金融產品買賣的虧損風險可以十分重大;因此,閣下必須仔細考慮並評估產品涉及之風險,或諮詢專業顧問,鑑於自己財務狀況及投資目標,以確保投資決定適合個人財務狀況及風險承受水平。本公司對任何人因使用本文資料而蒙受的任何或間接損失在法律上均不負。	的
確認及聲明	
本人/吾等為下述簽署客戶,謹此確認及聲明本人/ 吾等已閱讀及明 白「股票期權長 倉\$5 佣金」收費計劃內所載的一切修款,並接受及同意受該等條款(可不時被修訂)所約束。	ŧ
客戶簽署: 日期:	

For Office Use Only	Submitted by	Dept/Branch	
Signature checked by	Input by	Checked by	Remarks
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'HK\$5 Long Stock Options Commission' Plan Application Form

Stock Options Account Numl	per:	Client's Name(s).	
I/ We would like to apply:			
'HK\$5 Long Stock Option	ns Commission' Plan		
Electronic Statement Se			
	atement and monthly stat	ement to:	
E-mail address .			
' '-	ess will be updated to all Cl	nief accounts.	
'HK\$5 Long Stock Options Co	mmission' Plan Terms and	d Conditions:	
1. Clients who select the 'HK\$ monthly statements).	5 Long Stock Options Commi	ssion' Plan shall opt to receive	email statements (including daily and
opening a long position(s) relevant Short Call or Short contracts, each contract co the charge table for details, a. phone and online tradii b. phone trading relating c. fees generated due to s Clients can choose to partic 3. This plan is only applicable to the charge table in the client needs to cancel scheme. Client must fill a ca working days. Any transacti	i.e., relevant Long Call or Lo Put instructions) (if applicable sting HK\$5. Other operations including but not limited to: ng relating to the opening of to opening of a Long Position ecurities settlement exercise ipate in the plan at their own to direct clients of Chief Secur to this plan unless CHIEF infort eright to cancel this plan upo the 'HK\$5 Long Stock Option ancellation form and wait for ons made during the period	ng Put instructions) and subsecte). Commission fees will be call as under this plan will be the sar as Short Position and subsequent and subsequent related closing dor being exercised. discretion which best suited the rities Ltd ("CHIEF"), clients of Across the client of changes to the on one month's notice. In a Commission' Plan, this will me CHIEF's notice for effective call will continue to be charged according to the continue to be charged according to the commission of the continue to be charged according to the charged	g position; and eir investment strategy. ccount Executives are not included. e plan or the client requests a change, nean reverting to the normal charging ncellation, which will usually take 2-5
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guarantee to the purchase or sal all the risks involved. You should whether trading or investment trading in financial products can a professional advisor to ensure	e of any investment products I undertake your own researd is suitable in light of your o be significant, you must care that your investment decisional circumstances and investment	or services. The following risk denotes that and study before you trade own financial position and investilly consider and assess the rins are appropriate to your final ent objectives. The Company is	i, recommendation, comment or any lisclosure statements may not disclose in invest. You should carefully consider istment objectives. The risk of loss in isks involved in the product or consult incial situation and risk tolerance level, is not legally responsible for any loss or
-	d declare that I/We have re Conditions. I/We accept a	-	risions of 'HK\$5 Long Stock Options e said provisions as the same may -
Signature(s).		Date.	
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For Office Use Only	Submitted by	Dept/Branch	Down also
Signature checked by	Input by	Checked by	Remarks
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