

US Stock Trading Services (Applicable to accounts opened before 31/10/2018)

Items	Charges	Minimum Charges
Commission for Internet Trade*	0.15%	US\$18
Commission for Phone Trade*	0.2%	US\$20

Financing Services

Items	Financial Charges [^] (in annual interest rate)	Remarks
Margin Account Lending Rate	Chong Hing Bank Prime Rate +2.5%	Overdue amount within the marginable value
Margin Account Lending Rate	Chong Hing Bank Prime Rate +3.5%	Overdue amount within the marginable value

[^] Negotiable rates for large amount financing

US Stock Options

Items	Charges	Minimum Charges
Commission for Internet Trade*	US\$3 per contract	US\$20
Commission for Phone Trade*	US\$3 per contract	US\$20

* Commission is calculated separately for each side and trading channels individually.

** Transaction cost includes transaction levy payable to the US SEC and cost of other transaction activities.

· Please contact the Chief's staff for details of the charges and fees for accounts opened before 31 October 2018.

· All information is subject to change without prior notice.

Account Services

Items	Charges	References
Custody Fee and Dormant Account Fee	Free	-
Dividend Collection# / Tax Refund Fee	0.2% of dividend collected	Minimum Charges: US\$1
Non-DTC stock charges	Based on different stocks and total shares traded	Trading Non-DTC stock**, clients are responsible to pay all the clearing charges related to the trade and such charges could exceed the total trading amount
DTC Settlement Instruction	Stock Deposit: Free Stock transfer to other brokers: US\$50 per stock	Minimum Charges: US\$150
ADR Pass-through Fees	Minimum US\$0.01 per share	-
Corporate Action Fees	All fees are borne by clients	-

** Please refer to our webpage for non-DTC stock information, the accuracy of all information subject to our company's and clearing agent's final announcement

A non-U.S. person holding a U.S. stock position would be subjected to a 30% US withholding tax (reduced by treaty) on dividend payments.

Statement Service Charges

Items	Charges	
Statement Administrative Fee	Paper Statement: E-statement:	HK\$10 per month* (Each Category**) Free of Charge
Request for Historical Statements	Send by post:	Within past 6 months: Each Category HK\$10 Beyond past 6 months up to 7 th year: HK\$20 per page
	Send by email:	Within past 6 months: Free of Charge Beyond 6 months up to 7 th year: HK\$20 per page

* Charges will be waived for existing customers aged 65 or above.

** HK stocks, Global Stocks, Futures Commodity and Stock Options will be each deemed as one category.

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