

8th June, 2022

Dear Customers,

Important Notice of implementation of Hong Kong Investor Identification Regime (“HKIDR”) and Over-the-counter Securities Transactions Reporting Regime (“OTCR”)

The Securities and Futures Commission (“SFC”) will implement the HKIDR in the second half of 2022 and the OTCR in the first half of 2023 (collectively, the “New Reporting Regime”). Under the New Reporting Regime, customers are required to provide their written consent to assign a unique Broker-Client Assigned Number (“BCAN”) so as to enable Chief Securities Limited (“the Company”) to provide to the Stock Exchange of Hong Kong (“SEHK”) together with their Client Identification Data (“CID”), including Customer’s Name, ID Issuing Country, ID Type & ID Number for processing their securities orders. The Company will submit customer’s BCAN with their securities order or share withdraw/deposit instruction to the SEHK and/or SFC for reporting. Customers who have not provided this consent will still be able to sell/withdraw their securities but will not be able to purchase/deposit securities until they do so.

Customer with individual account may choose to complete and submit the "Personal Information Collection Statement under Hong Kong Investor Identification Regime (HKIDR) and Over-the-counter Securities Transactions Reporting Regime (OTCR)" ("the Consent Form ") online. Customers can also call the Company for confirmation by phone or download the Consent Form on the Company's website www.chiefgroup.com.hk and sign it back to the Company for processing.

Customers are advised to submit the Consent Form on or before June 30, 2022. If the Company does not receive customers’ completed Consent Form 10 working days prior to the implementation date of the New Reporting Regime*, the Company can only accept the customer's securities sell instruction or shares withdraw instruction. For enquiries, please contact the Customer Support Centre at (852) 2500 9199.

*The exact implementation date is to be announced by the SFC. For details, please refer to the SFC website: <https://www.sfc.hk/en/Rules-and-standards/Investor-Identification-and-OTC-securities-reporting>



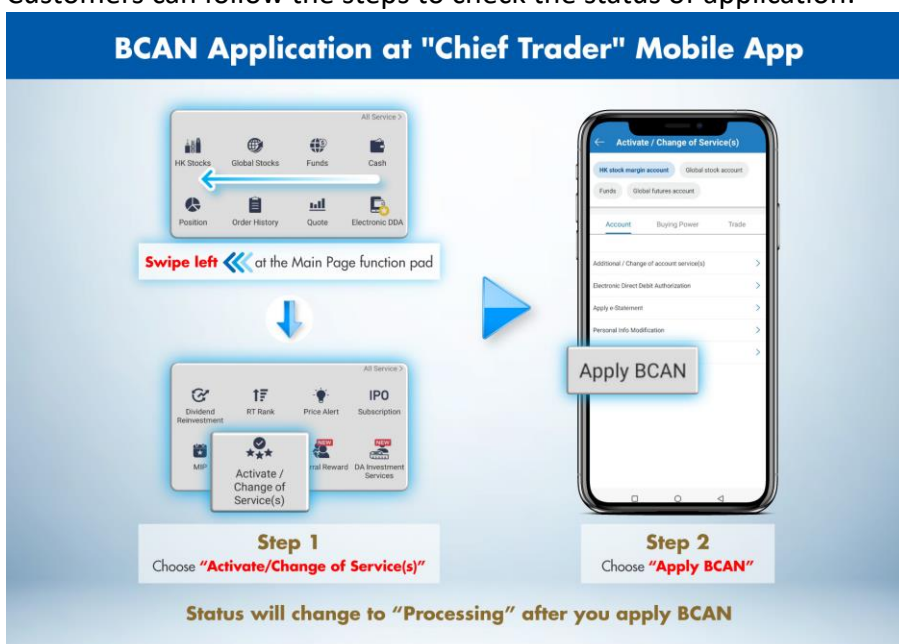
New Reporting Regime Notes:

1. Scope of implementation:

- The arrangement is limited to securities listed on SEHK, services for non-SEHK securities will not be affected.

2. Methods for submission of the Consent Form:

- Customers can submit the Consent Form through the following channels:
 - Login "Chief Trader" mobile application (Press [here](#) to acquire the latest version)
 Customers can follow the steps to check the status of application:



- Top Trader Professional (PC version) (Others > Additional Services > Personal Information Collection Statement under HKIDR & OTCR);
- Phone confirmation;
- In person at the head office or branch; or
- Reply to signing form via email (Download the Consent Form: www.chiefgroup.com.hk > Quick Links > Form's download, then sign it back and email to cs@chiefgroup.com.hk for processing.)

3. Notes on joint account:

- If all the holders of the joint account hold individual accounts with the Company and each joint account holder submits the Consent Form through his/her individual account, the joint account will be deemed to have submitted the Consent Form as well.
- If the joint account holder does not hold an individual account with the Company, please contact the Customer Support Centre at (852) 2500 9199 for assistance.

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Chief Securities Limited