

尊貴的客户:

### 《客戶協議書》修訂通知

感謝閣下選用本公司和「致富集團」的服務。

茲通知本公司已修訂《客戶協議書》條款，並將於 **2017年6月9日**(「生效日」)起生效。

(1) 於致富證券有限公司《客戶協議書》內「第一部份 一般性條款及規例」新增下列條款：

#### **20. 合適性**

20.1 假如本公司向客戶招攬銷售或建議任何金融產品，該金融產品必須是本公司經考慮客戶的財政狀況、投資經驗及投資目標後而認為合理地適合客戶的。本協議的其他條文或任何其他本公司可能要求客戶簽署的文件及本公司可能要求客戶作出的聲明概不會減損本條款的效力。

(2) 於致富證券有限公司《客戶協議書》內「附錄 1 風險披露聲明」中新增「**槓桿及反向產品的風險**」。新修訂之風險披露聲明載有附加條款提醒客戶有關買賣槓桿及反向產品的風險。

(3) 於致富期貨商品有限公司《客戶協議書》內「第一部份 條款及條件」新增下列條款：

#### **25. 合適性**

25.1 假如本公司向客戶招攬銷售或建議任何金融產品，該金融產品必須是本公司經考慮客戶的財政狀況、投資經驗及投資目標後而認為合理地適合客戶的。本協議的其他條文或任何其他本公司可能要求客戶簽署的文件及本公司可能要求客戶作出的聲明概不會減損本條款的效力。

請注意，如閣下在生效日之後仍於本公司持有賬戶或使用本公司任何金融服務、電子證券交易服務或其他服務，有關修訂條款將對閣下具有約束力。若閣下不接納有關修訂條款，本公司可能無法繼續為閣下提供服務。客戶如對修訂有任何查詢或回應，請致電本公司客戶服務熱線 (852) 2500 9199。

經修訂後《客戶協議書》條款將於 2017 年 5 月 19 上載於本行網站([www.chiefgroup.com.hk](http://www.chiefgroup.com.hk))及於生效日後擺放於本公司各分行供客戶參閱。如本通知或《客戶協議書》的中、英文版本有不同詮釋，一概以英文版本為準。

致富證券有限公司  
致富期貨商品有限公司  
謹啟

2017 年 5 月 18 日

備註:本函為電腦編印文件，無須簽署。

Date: 18 MAY 2017

Dear Valued Customer/Client,

**Notice of Amendments to the Terms of CLIENT AGREEMENT**

Thank you for choosing the services provided by Chief Securities Limited and/or other members of the Chief Group.

We are glad to inform you that effective from **9 JUNE 2017** (“Effective Date”),

(1) **Part 1** of the **CLIENT AGREEMENT** shall be amended by inclusion of the following into clause 20 of Chief Securities Limited agreement:

**20 Suitability**

20.1 If the Company solicits the sale of or recommends any financial product to the Client, the financial product must be reasonably suitable for the Client having regard to the Client’s financial situation, investment experience and investment objectives. No other provision of this agreement or any other document the Company may ask the Client to sign and no statement the Company may ask the Client to make derogates from this clause.

(2) **Leveraged and Inversed Product Risk Disclosure** shall be added to **Appendix 1 RISK DISCLOSURE STATEMENTS** of Chief Securities Limited agreement. Additional provisions have been included to alert and remind clients of the risks in the trading of Leveraged and Inversed Product.

(3) **Part 1** of the **CLIENT AGREEMENT** shall be amended by inclusion of the following into clause 25 of Chief Commodities Limited agreement:

**25 Suitability**

25.1 If the Company solicits the sale of or recommends any financial product to the Client, the financial product must be reasonably suitable for the Client having regard to the Client's financial situation, investment experience and investment objectives. No other provision of this agreement or any other document the Company may ask the Client to sign and no statement the Company may ask the Client to make derogates from this clause.

Please note that the amendments to the **CLIENT AGREEMENT** shall be binding on you if you continue to maintain your account(s) with Chief Securities Limited and/or other members of the Chief Group, or you continue to use any of our financial, electronic trading or other services on or after the Effective Date. If you do not accept the amendments, we may not be able to continue to provide our services to you. If you have any enquiries or response about the amendments, please feel free to call our customer services hotline (852) 2500 9199.

You may wish to refer to our official website ([www.chiefgroup.com.hk](http://www.chiefgroup.com.hk)) for the new version of the **CLIENT AGREEMENT** since 19 MAY 2017, which may also be available at our branches from the Effective Date. Should there be any discrepancy between the English and Chinese versions of this Notice and/or the **CLIENT AGREEMENT**, the English version shall prevail.

**Yours truly,**  
**Chief Securities Limited**  
**Chief Commodities Limited**

Note: This is a computer generated document that requires no signature.