致富CHIEF

'HK$5 Long Stock Options Commission' Plan Application Form

Stock Options Account Number：_____ **Client's Name(s)**：_____

I/ We would like to apply:

☑ **'HK$5 Long Stock Options Commission' Plan**

☑ **Electronic Statement Service:**

Please deliver the daily statement and monthly statement to:

E-mail address：|__|__|__|__|__|__|__|__|__|__|__|__|__|__|__|__|__|__|__|__|__|__|__|__|

*The above e- mail address will be updated to all Chief accounts.

'HK$5 Long Stock Options Commission' Plan Terms and Conditions:

1. Clients who select the 'HK$5 Long Stock Options Commission' Plan shall opt to receive email statements (including daily and monthly statements).
2. The 'HK$5 Long Stock Options Commission' Plan is only applicable to online trading of stock options traded on the SEHK to opening a long position(s) (i.e., relevant Long Call or Long Put instructions) and subsequent related closing position(s) (i.e., relevant Short Call or Short Put instructions) (if applicable). Commission fees will be calculated on the basis of the number of contracts, each contract costing HK$5. Other operations under this plan will be the same as normal charges, please refer to the charge table for details, including but not limited to:
   a. phone and online trading relating to the opening of a Short Position and subsequent related closing position;
   b. phone trading relating to opening of a Long Position and subsequent related closing position; and
   c. fees generated due to securities settlement exercised or being exercised.

   Clients can choose to participate in the plan at their own discretion which best suited their investment strategy.
3. This plan is only applicable to direct clients of Chief Securities Ltd ("CHIEF"), clients of Account Executives are not included.
4. There will be no time limit to this plan unless CHIEF informs the client of changes to the plan or the client requests a change, however CHIEF reserves the right to cancel this plan upon one month's notice.
5. If the client needs to cancel the 'HK$5 Long Stock Options Commission' Plan, this will mean reverting to the normal charging scheme. Client must fill a cancellation form and wait for CHIEF's notice for effective cancellation, which will usually take 2-5 working days. Any transactions made during the period will continue to be charged according to this plan.
6. CHIEF reserves the right to terminate or amend any terms and conditions of the 'HK$5 Long Stock Options Commission' Plan without prior notice. CHIEF reserves the right to make the final decision in case of disputes.

The above information is for reference only. It does not constitute any offer, solicitation, recommendation, comment or any guarantee to the purchase or sale of any investment products or services. The following risk disclosure statements may not disclose all the risks involved. You should undertake your own research and study before you trade or invest. You should carefully consider whether trading or investment is suitable in light of your own financial position and investment objectives. The risk of loss in trading in financial products can be significant, you must carefully consider and assess the risks involved in the product or consult a professional advisor to ensure that your investment decisions are appropriate to your financial situation and risk tolerance level, taking into account your financial circumstances and investment objectives. The Company is not legally responsible for any loss or damage suffered by any person arising from the use of this material.

Acknowledgement and Declaration

I/We hereby acknowledge and declare that I/We have read and understood the provisions of 'HK$5 Long Stock Options Commission' Plan Terms and Conditions. I/We accept and agree to be bound by the said provisions as the same may be amended from time to time.

Signature(s)：_____ **Date**：_____

For Office Use Only	Submitted by	Dept/Branch	
Signature checked by	Input by	Checked by	Remarks
/ /	/ /	/ /	