

## Dividend Reinvestment Operation Procedure

### About the Plan

- The Hong Kong Stock Dividend Reinvestment Days are on the 5th and/or 15th trading day(s) of each month.
- Dividend reinvestment enables you to reinvest your dividend income into additional shares of the same stock to grow your shareholding<sup>^</sup>.
- By reinvesting the dividends, you can easily accumulate your positions and compound your returns.
- **No commission is required.** Dividends received from the Dividend Reinvestment Stocks designated by clients will be used for purchasing the same stocks twice a month on the Dividend Reinvestment Days<sup>#</sup>.
- You have the flexibility of opting in and out of the plan anytime.

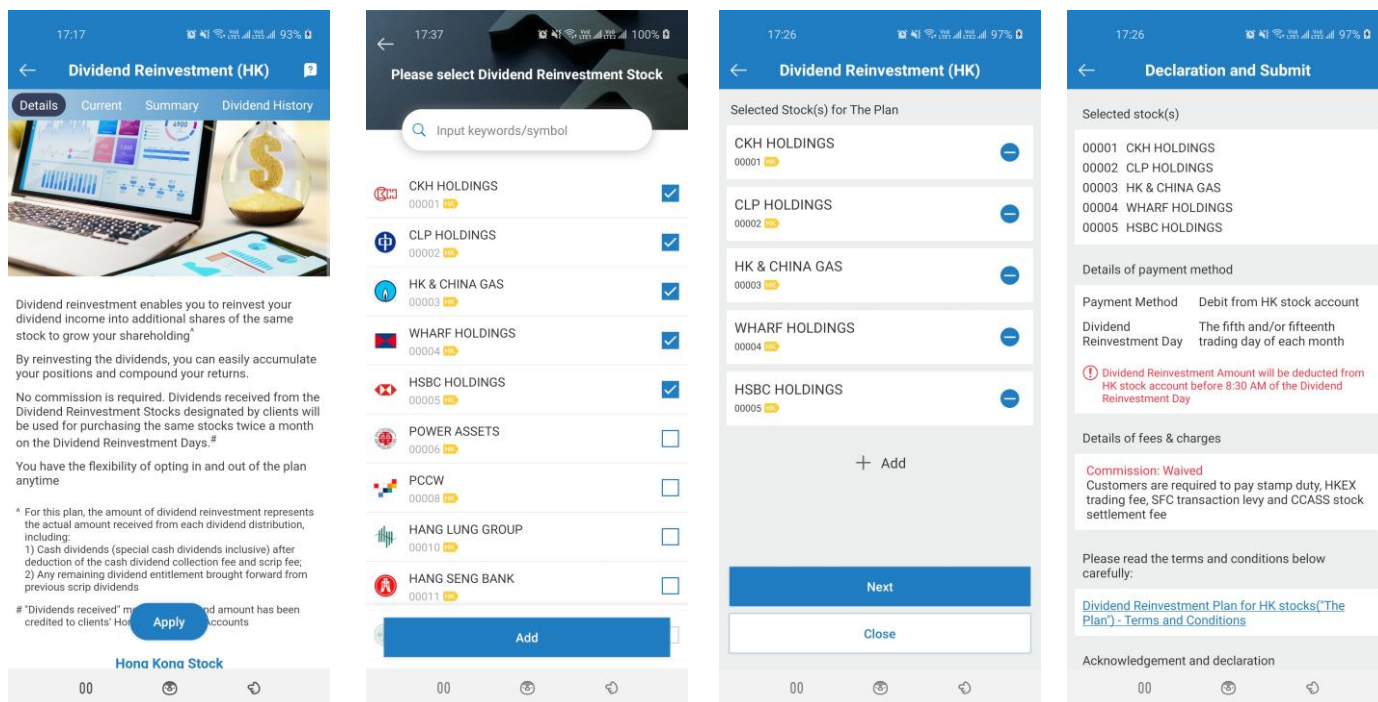
<sup>^</sup> For this plan, the amount of dividend reinvestment represents the actual amount received from each dividend distribution, including: 1) Cash dividends (special cash dividends inclusive) after deduction of the cash dividend collection fee and scrip fee; 2) Any remaining dividend entitlement brought forward from previous scrip dividends

<sup>#</sup>"Dividends received" means the dividend amount has been credited to clients' Hong Kong Stocks Accounts

### Trading Fees

**No commission is required.** Clients are required to pay stamp duty, SFC transaction levy, HKEX trading fee, and CCASS stock settlement fee. ° Cash Dividend Collection Fee and Scrip Fee will still be charged during dividend collection.

### How to apply for Hong Kong Stock Dividend Reinvestment Plan?



- 1. Select "Apply"**
- 2. Select Dividend Reinvestment Stock(s)**
- 3. Confirm Selected Stock(s)**
- 4. Confirm Declaration and Submit Application**

## Frequently Asked Questions

1. When does the Hong Kong Stock Dividend Reinvestment take place?  
The Hong Kong Stock Dividend Reinvestment Days fall on the 5<sup>th</sup> and/or 15<sup>th</sup> trading day of each month. Dividends from the Dividend Reinvestment Stocks will be fully credited to clients' Hong Kong Stocks Accounts, and will be used for purchasing the same stocks on the next Dividend Reinvestment Day.
2. Can I determine the amount of dividend reinvestment?  
No, the amount of dividend reinvestment represents the actual dividends received from the Dividend Reinvestment Stocks. In other words, all dividends received will be used for purchasing the same stocks.
3. How to apply for the Hong Kong Stock Dividend Reinvestment Plan?  
Open a CHIEF Hong Kong Stocks Account  
Apply via "Chief Trader" Mobile APP (Android or iOS)  
Enroll eligible stocks ("Dividend Reinvestment Stock(s)") in the plan
4. Can I make changes to the plan?  
Yes, you may enroll in or remove any Dividend Reinvestment Stocks from the plan at any time. Any enrollment or removal requests will be submitted before 8:30 am of a Dividend Reinvestment Day. In case where a Dividend Reinvestment Stock is removed from the plan, the relevant dividends will not be debited, and will remain in the client's Hong Kong Stocks Account.
5. What charges are involved?  
The plan is commission-free. However, clients are still subject to stamp duty, SFC transaction levy, HKEX trading fee, and CCASS stock settlement fee. A cash dividend collection fee and scrip fee will also be charged for collecting distributed dividends.
6. Is it necessary to apply for the Hong Kong Stock Dividend Reinvestment Plan every time before and after dividend distribution?  
No, only one application is required. If the dividends from the Dividend Reinvestment Stocks are credited to your Hong Kong Stocks Account before the next Dividend Reinvestment Day, we will automatically purchase further shares of the same stocks.
7. What happens if the trading of a stock elected for dividend reinvestment is suspended on a Dividend Reinvestment Day?  
If the trading of a stock elected for dividend reinvestment is suspended on a Dividend Reinvestment Day, the dividend reinvestment on that day will be cancelled and no stock will be purchased. The relevant monies will be credited to the client's stock account without any trading fees being charged.
8. If my original holdings of a Dividend Reinvestment Stock have been sold or the relevant dividends have been withdrawn from my account, will the dividend reinvestment in that stock continue?  
Under the Hong Kong Stock Dividend Reinvestment Plan, reinvestment is made based on the cash dividends amount received by the clients. As long as the clients' accounts has the buying power equivalent to that of the dividends withdrawn on the Dividend Reinvestment Day, we will proceed with the reinvestment, regardless of any changes in the clients' holdings. If you would like to remove a particular stock from the Hong Kong Stock Dividend Reinvestment Plan, please submit your request before 8:30 am of a Dividend Reinvestment Day.
9. Can I join the plan if my stocks for Dividend Reinvestment are in the Stock Options Account?  
As the dividend collection is subjected to the deposited amount in client's HK Stocks Account, if the stocks are in Stock Options Account before ex-date, dividend will be deposited in the Stock Options Account.  
If client would like to join the Hong Kong Stock Dividend Reinvestment Plan, please transfer the stocks from Stock Options Account to HK Stocks Account before ex-dividend date.