

"Monthly Investment Plan" Application Form

申請人資料 Applicant information			
帳戶號碼 A/C No. :		生效日期 Effective Date :	
客戶名稱 A/C Name :			
<input checked="" type="checkbox"/> 股票帳戶扣數 Debit from securities account			

月供股票計劃詳情 Details of the Monthly Investment Plan					
	服務指示* Service instruction	股票號碼 Symbol	股份名稱 Stock Name	每月供款額** Monthly Investment	目標股數*** Target Balance
1	新增 / 更改 / 取消 Add / Change / Terminate				
2	新增 / 更改 / 取消 Add / Change / Terminate				
3	新增 / 更改 / 取消 Add / Change / Terminate				
4	新增 / 更改 / 取消 Add / Change / Terminate				
5	新增 / 更改 / 取消 Add / Change / Terminate				
6	新增 / 更改 / 取消 Add / Change / Terminate				
7	新增 / 更改 / 取消 Add / Change / Terminate				
8	新增 / 更改 / 取消 Add / Change / Terminate				
總額 Total Amount :					
<input type="checkbox"/> 取消所有「月供股票計劃」 Termination of all "Monthly Investment Plan"					

* Please delete as appropriate.

** HK\$500 or above in multiples of HK\$500 per month for each selected stock.

*** While the stock balance held has reached the target balances, this Plan shall be terminated with immediate effect. Client(s) may reapply for the Plan after the termination. Client(s) may leave this column blank representing you do not have a target balance and the Plan shall continue until further notice.

確認及聲明 Acknowledgement and Declaration

I/We hereby acknowledge and declare that I/We have read and understood the provisions of "Monthly Investment Plan ("The Plan") - Terms and Conditions" I/We accept and agree to be bound by the said provisions as the same may be amended from time to time. Upon acceptance of this application, I/We will be required to pay the monthly contribution amount in accordance with these terms.

客戶簽署

日期

Signature(s) : _____

Date : _____

只供內部使用 For internal use only		
提交人 Submitted by	<input type="checkbox"/> 總行 HQ <input type="checkbox"/> 分行 Branch _____	簽名核對 Signature checked by
資料輸入 Input by	資料核對 Checked by	

「月供股票計劃」(「計劃」) - 條款及細則

Monthly Investment Plan ("The Plan") - Terms and Conditions

1. Contribution

- HK\$500 or above in multiples of HK\$500 per month for each selected stock.
- The total monthly contribution ("contribution amount") calculated based on all applications will be deducted directly from client's securities account on the fifth trading day of each month ("Monthly Purchase Date").
- The client must ensure that the client's wealthy stock account has sufficient cash balance on the "Monthly Purchase Date" to cover the required contribution.
- If the monthly contribution is not successfully deducted from client's wealthy stock account on the "Monthly Purchase Date", the monthly plan will be automatically suspended.

2. Execute transaction

- CHIEF will buy relevant stocks for all clients on the fifth trading day of each month ("Monthly Purchasing Date").
- If trading in client's selected stock suspended from trading on the "Monthly Purchasing Date", the selected stock will not be purchased on that month. The relevant amount will be remained in the client's securities account (no transaction fees will be deducted).

3. Buying and allocating shares

- The stock purchase price will be calculated based on the overall average purchase price of the shares.
- Brokerage fee waiver for the first \$10,000 of each stock contribution via online Monthly Investment Plan application, followed by 0.0675%, no minimum charges. (Customers are required to pay stamp duty, HKEX trading fee, SFC transaction levy and CCASS stock settlement fee)
- The contribution amount minus commission, stamp duty, transaction levy, trading fee and CCASS fee will be invested into the relevant stock selected by the client.
- The number of shares to be allocated by the client will be rounded down to the nearest whole number of shares.
- CHIEF will deposit the purchased shares into the client's securities account on the "Monthly Purchase Date".

4. Amendment or termination on the plan

- To change your contribution amount, stock portfolio or plan termination, i) Customers who apply the plan using form must complete the "Monthly Investment Plan" service application form and return it to Chief before the 25th of each month. The application will be handled on the next "Monthly Purchase Date"; ii) Customers who apply the plan online can submit it by 07:59:59 of the "Monthly Purchase Date".
- If the client fails to pay the contributions on three consecutive "Monthly Purchasing Day", CHIEF will immediately terminate the plan without any notice.

5. Selling stocks

- If client sold any stock in the CHIEF account (including the stock bought through this plan), regardless of whether the plan has been terminated or not, CHIEF shall charge general stock transaction fees, including commissions and other transaction fees.
- The number of shares purchased under the Plan is likely to be less than one board lot. Shares of less than one board lot are regarded as an odd lot. Odd lots are normally sold at a discounted price compared to the prevailing market price for board lot. Customers can set the targeted number of shares as lot size.

6. Risk Disclosure Statement

- The prices of securities fluctuate, sometimes dramatically. The price of securities may move up or down and may become valueless.
- Buying and selling stocks may not necessarily earn profits, but may incur losses.
- The Plan does not assure a profit, nor does it protect against loss in declining markets, or against a loss.
- If you terminate the Plan when the value of your shares is less than the cost of the shares you purchased.
- Where necessary, client shall seek the own independent professional advice before making any investment decision.
- If a client chooses to contribute on exchange traded fund (ETF), such as (2823) ISHARES A50 and (2827) WISE CSI300 ETF, etc., the client need to understand and confirm the risks associated with exchange traded fund.

7. Other

- Chief reserves the right to amend, suspend and terminate the above plan and services, and the right to modify the relevant terms and conditions at any time at its sole discretion without prior notice.
- If there is inconsistency between the English version and the Chinese version, the English version shall prevail.