

Securities Withdrawal Form

Account No.:	Client Name:
Last Collection Date:	Collection Branch: <input type="checkbox"/> HQ <input type="checkbox"/> Wan Chai <input type="checkbox"/> Mongkok <input type="checkbox"/> Tsuen Wan Stock value of HK\$500,000 or above can only be withdrawn from HQ

Please withdraw the following securities from the account above:

Stock Code	Stock Name	Stock Quantity	No. of Transfer Deed	Odd Lot (Y/N)	CCASS LOG WTDL Order No./ WTDL Order No.	No. of Lot	Withdrawal Fee (HK\$)
					V		
					W		
					V		
					W		
					V		
					W		
					V		
					W		
Withdrawal fee will be debited from account immediately.						Total(HK\$)	

Reason of Withdrawal : _____

- ◆ The securities withdrawal fee is charged at HK\$5 per lot. The minimum charge is HK\$50 per stock.
- ◆ The request of splitting securities into customized quantities is not guaranteed.
- ◆ If the securities are not collected within six working days, they will be deposited back into your account. Uncollected withdrawal fee will be debited from the account.
- ◆ To receive entitlements such as dividends or bonus issues, you must go to the designated registrar and re-register the securities in your own name(s).
- ◆ Additional charges are required if you wish to pursue any unclaimed dividends or bonus issues. The handling fee of each stock is HK\$100 per period. The minimum charge per stock is HK\$1,000.
- ◆ For any enquiries, you may contact the designated registrar.

I/We will **come / authorize a representative[#]** to collect the above securities.

Client's Signature(s) : _____

Date : _____

[#] Please delete as appropriate.

I/We hereby confirm receipt of the above securities being delivered to me/us by your company.

Recipient's Signature: _____

Date of Collection: _____

<p style="text-align: center;">Third Person Collecting Securities Authorization Form</p> <ul style="list-style-type: none"> ● If you authorize a third person to collect the securities, please fill in the following information. You must bear all risks and losses in respect of this authorization. ● If you do not submit the application form in person, our company will call you to confirm the request. ● <u>Copy of identification document of the account holder must be provided when collecting the securities.</u> <p>I/We authorize the person below to collect my/our securities above in person.</p> <p style="text-align: center;">Name of Authorized Person: _____</p> <p style="text-align: center;">Identification Document No. of Authorized Person: _____</p> <p style="text-align: center;">Client's Signature(s): _____</p>	<p>For official use only</p> <table border="1" style="width: 100%; border-collapse: collapse;"> <tr> <td style="width: 50%;">(HQ/Branch) Handling Staff</td> <td style="width: 50%;"></td> </tr> <tr> <td>Extention /Time</td> <td></td> </tr> <tr> <td>Signature(s) Verified</td> <td></td> </tr> <tr> <td>Authorization confirmed</td> <td></td> </tr> <tr> <td>ID Card Verified</td> <td></td> </tr> <tr> <td>CCASS Entry</td> <td></td> </tr> <tr> <td>BO Entry</td> <td></td> </tr> </table>	(HQ/Branch) Handling Staff		Extention /Time		Signature(s) Verified		Authorization confirmed		ID Card Verified		CCASS Entry		BO Entry	
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